

PATENT ATTORNEY DOCKET NO.: 86339-0002

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
George M. HAY)
Application No.: 10/067,976)
Filing Date: February 8, 2002)
For: System and Method for the Delivery of Electronic Books))

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

This is in response to the Notice to Filing Missing Parts, dated <u>March 11, 2002</u>, in the above-identified application.

1. Combined Declaration and Power of Attorney

Enclosed.

This application was filed without an executed Declaration and Power of Attorney.

2. Assignment

An assignment of the invention to George M. Hay and a PTO Form-1595, Recordation Form Cover Sheet, are enclosed.

07/16/2002 NMUHAMM1 00000133 10067976

04 FC:216

200.00 OP

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3. Fee Calculation (37 C.F.R. § 1.16)

CLAIMS FOR FEE CALCULATION							
	Number Filed	Basic Fee Utility \$740.00 Design \$330.00					
Total Claims (37 C.F.R. §1.16(c))	21 - 20 =	1	\$	18.00 each=	+\$ 18.00		
Independent Claims (37 C.F.R. §1.16(b))	- 3=		\$	84.00 each=	+ \$		
Multiple dependent cla	+						
	\$ 18.00						
Surcharge under 37 C.	\$130.00						
	-\$ 444.00						
	\$ 444.00						

4.	Fee Payment
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- Enclosed.

 A check in the amount of \$644is enclosed for the filing fee, the second month extension of time fee and the \$65surcharge as set forth in 37 C.F.R. 1.16(e).
- If an Assignment is being filed as noted in paragraph 2 above, a separate check in the amount of \$40.00 is enclosed.
- The Commissioner is hereby authorized by this paper to charge any additional fees, or credit any overpayment to Deposit Account 50-1349. This paragraph is intended to be a **CONSTRUCTIVE PETITION FOR EXTENSION OF TIME** in accordance with 37 C.F.R. §1.136(a)(3)

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If there are any questions regarding the above-captioned patent application, please contact applicant's representative at the number given below.

Respectfully submitted,

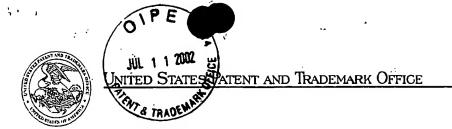
HOGAN & HARTSON LLP

Stephen B. Parker Reg. No. 36,631

Dated: July 11, 2002

HOGAN & HARTSON LLP 555 13th Street, N.W. Washington, D.C. 20004 (703) 610-6117 Customer No. 24633

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/067.976

02/08/2002

George M. Hay

86339-0002

HOGAN & HARTSON LLP.

CONFIRMATION NO. 3795

24633 HOGAN & HARTSON LLP IP GROUP, COLUMBIA SQUARE 555 THIRTEENTH STREET, N.W. WASHINGTON, DC 20004 MAR 1 4 2002

IP DOCKETING DEPT

FORMALITIES LETTER

OC000000007613868

Date Mailed: 03/11/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$9.
 - \$9 for 1 total claims over 20.
- The oath or declaration is missing.

 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 444.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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		9.00	
	FC:205		OP

A copy of this notice <u>MUST</u> be returned with the reply.

Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

U.S. Patent and T 5, no persons are required to respond to a collection of inform

Age 2 and for use through 10/31/2002. OMB 0651-0032

FEE TO AMORAITTAL	Complete if Known						
FEE TRANSMITTAL For FY 2002	Application Number	10/067,976					
	Filing Date	February 8, 2002					
JUL 1 1 2002 #	First Named Inventor	George M. HAY					
Patent are subject to annual revision.	Examiner Name	Unassigned					
P. C. L. C.	Group / Art Unit	Unassigned					
TOTAL AMOUNT OF PAYMENT (\$) 684	Attorney Docket No.	86339-0002					

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						
☑ Check ☐ Credit card ☐ Money ☐ Other ☐ None	3. ADD	ITIONAL Large Entity	. FEES	Small Entity			
Order Deposit Account:			Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Pald
Deposit	7	105	130	205	65	Surcharge - late filing fee or oath	65
Account Number	127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
	_	139	130	139	130	Non-English specification	
Deposit Account		147	2,520	147	2,520	For filing a request for reexamination	
Name		112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
The Commissioner is authorized to: (check all that apply) ☐ Charge fee(s) indicated below ☐ Credit any overpayments	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
☐ Charge any additional fee(s) during the pendency of this applicat ☐ Charge fee(s) indicated below, except for the filling fee	on	115	110	215	55	Extension for reply within first month	
to the above-identified deposit account.		116	400	216	200	Extension for reply within second month	200
FEE CALCULATION		117	920	217	460	Extension for reply within third month	
BASIC FILING FEE Large Entity Small Entity		118	1,440	218	720	Extension for reply within fourth month	
Fee Fee Fee Fee Description		128	1,960 *	228	980	Extension for reply within fifth month	
Code (\$) Code (\$) Fee Pai	i	119	320	219	160	Notice of Appeal	
101 740 201 370 Utility filing fee 370	<u> </u>	120	320	220	160	Filing a brief in support of an appeal	
106 330 206 165 Design filing fee		121	280	221	140	Request for oral hearing	
107 510 207 255 Plant filing fee 108 740 208 370 Reissue filing fee		138	1,510	138	1,510	Petition to institute a public use proceeding	
114 160 214 80 Provisional filling fee		140	110	240	55	Petition to revive – unavoidable	
		141	1,280	241	640	Petition to revive – unintentional	
SUBTOTAL (1) (\$) 370		142	1,280	242	640	Utility issue fee (or reissue)	
O EVEDA CLAIM FEED		143	460	243	230	Design issue fee	
2. EXTRA CLAIM FEES Extra Fee from Fee		144	620	244	310	Plant issue fee	
Claims below Pai	}	122	130	122	130	Petitions to the Commissioner	
Total Claims 21 -20 ** = 1 X 9 = 9		123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
Independent Claims = 0 X = 0		126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent X = 0		581	40	581	40	Recording each patent assignment per property (times number of properties)	40
Large Entity Small Entity Fee Fee Fee Fee Fee Description		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20		149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
102 84 202 42 Independent claims in excess of 3		l					
104 280 204 140 Multiple dependent claim, if not page	id	179	740	279	370	Request for Continued Examination (RCE)	
109 84 209 42 ** Reissue independent claims ov original patent	er	169	900	169	900	Request for expedited examination of a design application	
110 18 210 9 ** Reissue claims in excess of 20 over original patent	and						
SUBTOTAL (2) (\$) 379	Other fe	e (specify	y)	•			
**or number previously paid, if greater; For Reissues, see above	*Reduc	ed by Ba	sic Filin	g Fee Pa	sid SUBTOTAL (3) (\$) 305		

1	SUBMITTED BY		Complete (if applicable)			
I.	Name (Print/Type)	Stephen B. Barker	Registration No. Attorney/Agent)	36,631	Telephone	(703) 610-6149
	Signature	11/11/19/1	ix for Rosey	4,262	Date	July 11, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.